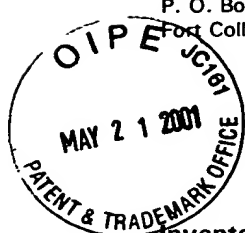


#3



IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Brian Jemes et al

Serial No.: 09/726072

Examiner:

Filing Date: Nov 29, 2000

Group Art Unit:

Title: System And Method For Implementing Network Security Policies On A Common Network Infrastructure

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR SUBMITTAL OF MISSING PARTS

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f) mailed on 02/21/01. Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

( ) Statutory basic filing fee ( ) Utility \$760.00 ( ) Design \$430.00

( ) Additional claim fees of \$

(X) Missing Parts Surcharge \$130.00

(X) A Petition for Extension of Time for reply to Notice of Missing Parts is attached.

(X) one month \$110.00  
( ) two months \$380.00  
( ) three months \$870.00  
( ) four months \$1360.00

Please charge to Deposit Account **08-2025** the sum of \$240.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) A duplicate copy of this transmittal letter is enclosed.

09/29/2001 RECEIVED 00000107 002025 05725072  
02 FEB 2001 110.00

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 5/18/01

Typed Name: Tiffany Turner

Signature:

Respectfully submitted,

Brian Jemes et al

By

Thomas X. Li

Attorney/Agent for Applicant(s)

Reg. No. ~~25,075~~  
137,079

Date: 5/18/01

Telephone No.: (650) 857-5972



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/726,072	11/29/2000	Brian Lee James	10002170-1

CONFIRMATION NO. 8678

## FORMALITIES LETTER



\*OC000000005682261\*

HEWLETT-PACKARD COMPANY  
Intellectual Property Administration  
P. O. Box 272400  
Fort Collins, CO 80527-2400

Date Mailed: 02/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/21/2001 RELATION 00000107 002025 09726072

31 00:00 130.00 CH

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

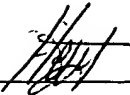
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be 'F. H. J.', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE